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To: Michelle R. Connelly Cushwa **From:** Michael J. Willardson

Fax: 571.273.8300 **Pages:** 25 (including cover sheet)

Phone: **Date:** December 29, 2005

Our Ref: 012.P53001 **CC:**

Please find attached for filing in connection with application no. 10/087,878, entitled MONITORING A SEMICONDUCTOR LASER UTILIZING AN INCORPORATED BEAM SPLITTER DEVICE, the following documents:

- Petition for Extension of Time (1 page)
- Fee Transmittal (1 page)
- Amendment (13 pages)
- Statement Under 37 CFR 3.73(b) (1 page)
- Power of Attorney (1 page)
- Assignment of Patent Rights (4 pages)
- Information Disclosure Statement Transmittal (2 pages)
- Information Disclosure Statement (1 page)

CERTIFICATE OF FACSIMILE TRANSMISSION

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PTO/SB/17 (12-04v2)

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|---|--------------------|--|--|--------------------|------------|-------------|----------|----------------------|--------------|---------------|--------------------|----------|------|---------------------|------------|
| <p>Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</p> <p>FEE TRANSMITTAL For FY 2005</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> | | <p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>10/087,878</td> </tr> <tr> <td>Filing Date</td> <td>3/1/2002</td> </tr> <tr> <td>First Named Inventor</td> <td>David R. Ohm</td> </tr> <tr> <td>Examiner Name</td> <td>M. Connelly Cushwa</td> </tr> <tr> <td>Art Unit</td> <td>2874</td> </tr> <tr> <td>Attorney Docket No.</td> <td>012.P53001</td> </tr> </table> | | Application Number | 10/087,878 | Filing Date | 3/1/2002 | First Named Inventor | David R. Ohm | Examiner Name | M. Connelly Cushwa | Art Unit | 2874 | Attorney Docket No. | 012.P53001 |
| Application Number | 10/087,878 | | | | | | | | | | | | | | |
| Filing Date | 3/1/2002 | | | | | | | | | | | | | | |
| First Named Inventor | David R. Ohm | | | | | | | | | | | | | | |
| Examiner Name | M. Connelly Cushwa | | | | | | | | | | | | | | |
| Art Unit | 2874 | | | | | | | | | | | | | | |
| Attorney Docket No. | 012.P53001 | | | | | | | | | | | | | | |
| TOTAL AMOUNT OF PAYMENT | (\$) | 100.00 | | | | | | | | | | | | | |

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| <p>METHOD OF PAYMENT (check all that apply)</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____</p> <p><input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>50-3703</u> Deposit Account Name: <u>Berkeley Law Group</u></p> <p>For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)</p> <p><input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee</p> <p><input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) <input checked="" type="checkbox"/> Credit any overpayments</p> <p><small>under 37 CFR 1.16 and 1.17</small></p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | | |
|--|--|--|--|--|--|--|

| <p>FEE CALCULATION</p> <p>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</p> <table border="1"> <thead> <tr> <th rowspan="2"><u>Application Type</u></th> <th colspan="2"><u>FILING FEES</u></th> <th colspan="2"><u>SEARCH FEES</u></th> <th colspan="2"><u>EXAMINATION FEES</u></th> </tr> <tr> <th><u>Fee (\$)</u></th> <th><u>Small Entity</u></th> <th><u>Fee (\$)</u></th> <th><u>Small Entity</u></th> <th><u>Fee (\$)</u></th> <th><u>Small Entity</u></th> </tr> </thead> <tbody> <tr> <td>Utility</td> <td>300</td> <td>150</td> <td>500</td> <td>250</td> <td>200</td> <td>100</td> </tr> <tr> <td>Design</td> <td>200</td> <td>100</td> <td>100</td> <td>50</td> <td>130</td> <td>65</td> </tr> <tr> <td>Plant</td> <td>200</td> <td>100</td> <td>300</td> <td>150</td> <td>160</td> <td>80</td> </tr> <tr> <td>Reissue</td> <td>300</td> <td>150</td> <td>500</td> <td>250</td> <td>600</td> <td>300</td> </tr> <tr> <td>Provisional</td> <td>200</td> <td>100</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table> <p>2. EXCESS CLAIM FEES</p> <table border="1"> <thead> <tr> <th><u>Fee Description</u></th> <th><u>Small Entity</u></th> </tr> </thead> <tbody> <tr> <td>Each claim over 20 (including Reissues)</td> <td>50 25</td> </tr> <tr> <td>Each independent claim over 3 (including Reissues)</td> <td>200 100</td> </tr> <tr> <td>Multiple dependent claims</td> <td>360 180</td> </tr> </tbody> </table> <p>Total Claims <u>35</u> - 20 or HP = <u>2</u> x <u>50</u> = <u>100.00</u></p> <p>HP = highest number of total claims paid for, if greater than 20.</p> <p>Indep. Claims <u>3</u> or HP = <u>3</u> x <u>50</u> = <u>150.00</u></p> <p>HP = highest number of independent claims paid for, if greater than 3.</p> <p>3. APPLICATION SIZE FEE</p> <p>If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).</p> <table border="1"> <thead> <tr> <th><u>Total Sheets</u></th> <th><u>Extra Sheets</u></th> <th><u>Number of each additional 50 or fraction thereof</u></th> <th><u>Fee (\$)</u></th> <th><u>Fee Paid (\$)</u></th> </tr> </thead> <tbody> <tr> <td>- 100 =</td> <td>/ 50 =</td> <td>(round up to a whole number) x</td> <td>=</td> <td><u>Fee Paid (\$)</u></td> </tr> </tbody> </table> <p>4. OTHER FEE(S)</p> <p>Non-English Specification, \$130 fee (no small entity discount)</p> <p>Other (e.g., late filing surcharge): _____</p> | | | | | | | <u>Application Type</u> | <u>FILING FEES</u> | | <u>SEARCH FEES</u> | | <u>EXAMINATION FEES</u> | | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | Utility | 300 | 150 | 500 | 250 | 200 | 100 | Design | 200 | 100 | 100 | 50 | 130 | 65 | Plant | 200 | 100 | 300 | 150 | 160 | 80 | Reissue | 300 | 150 | 500 | 250 | 600 | 300 | Provisional | 200 | 100 | 0 | 0 | 0 | 0 | <u>Fee Description</u> | <u>Small Entity</u> | Each claim over 20 (including Reissues) | 50 25 | Each independent claim over 3 (including Reissues) | 200 100 | Multiple dependent claims | 360 180 | <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | - 100 = | / 50 = | (round up to a whole number) x | = | <u>Fee Paid (\$)</u> |
|--|---------------------|---|--------------------|----------------------|-------------------------|---------------------|-------------------------|--------------------|--|--------------------|--|-------------------------|--|-----------------|---------------------|-----------------|---------------------|-----------------|---------------------|---------|-----|-----|-----|-----|-----|-----|--------|-----|-----|-----|----|-----|----|-------|-----|-----|-----|-----|-----|----|---------|-----|-----|-----|-----|-----|-----|-------------|-----|-----|---|---|---|---|------------------------|---------------------|---|-------|--|---------|---------------------------|---------|---------------------|---------------------|---|-----------------|----------------------|---------|--------|--------------------------------|---|----------------------|
| <u>Application Type</u> | <u>FILING FEES</u> | | <u>SEARCH FEES</u> | | <u>EXAMINATION FEES</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>Fee Description</u> | <u>Small Entity</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Each claim over 20 (including Reissues) | 50 25 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Each independent claim over 3 (including Reissues) | 200 100 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Multiple dependent claims | 360 180 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| - 100 = | / 50 = | (round up to a whole number) x | = | <u>Fee Paid (\$)</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| <p>SUBMITTED BY</p> <table border="1"> <tr> <td>Signature</td> <td></td> <td>Registration No. (Attorney/Agent) <u>50,856</u></td> <td>Telephone <u>503.439.6500</u></td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="3"><u>Michael J. Willardson</u></td> </tr> <tr> <td></td> <td colspan="3">Date <u>12/29/05</u></td> </tr> </table> | | | | Signature |  | Registration No. (Attorney/Agent) <u>50,856</u> | Telephone <u>503.439.6500</u> | Name (Print/Type) | <u>Michael J. Willardson</u> | | | | Date <u>12/29/05</u> | | |
| Signature |  | Registration No. (Attorney/Agent) <u>50,856</u> | Telephone <u>503.439.6500</u> | | | | | | | | | | | | |
| Name (Print/Type) | <u>Michael J. Willardson</u> | | | | | | | | | | | | | | |
| | Date <u>12/29/05</u> | | | | | | | | | | | | | | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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